



Capital Market Association
Eastern Caribbean

Annexure 7H: Broker's Transfer Form

PART 1	PLEASE USE BLOCK LETTERS			
Full name of company or other eligible body				
Description of Securities	<table border="0"> <tr> <td style="width: 33%;">Class</td> <td style="width: 33%;">If not fully paid, paid to</td> <td style="width: 33%;">Register</td> </tr> </table>	Class	If not fully paid, paid to	Register
Class	If not fully paid, paid to	Register		
Quantity	<table border="0"> <tr> <td style="width: 66%;">Words</td> <td style="width: 34%;">Figures</td> </tr> </table>	Words	Figures	
Words	Figures			
Transfer identification number				
Full names(s) of transferor(s)	<p>_____</p> <p>Transferor's broker hereby certifies:</p> <p>a) that the security transfer form relating to the securities set out above has been or will be lodged at the company's or eligible body's office; and</p> <p>b) that the stamp duty, if payable, has been or will be paid.</p> <div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 10px auto;"> <p>TRANSFEROR'S BROKER'S STAMP</p> </div> <p>_____</p> <p>_____</p>			
Place of affixing stamp	_____			
Date of affixing stamp	_____ day of _____, 20_____			



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PART 2

PLEASE USE BLOCK LETTERS

Full names(s) of
transferee(s)

Address(es) of transferee(s)

Transferee's broker hereby certifies:

- a) that the securities set out in Part 1 above, having been purchased in the ordinary course of business, are to be registered in the name(s) of the transferee(s) named in this part; and
- b) that the stamp duty, if payable, has been or will be paid;

and hereby requests that such entries be made in the register as are necessary to give effect to this transfer.

TRANSFEEE'S BROKER'S STAMP

Place of affixing stamp

Date of affixing stamp

_____ day of _____, 20____

PART 3

PLEASE USE BLOCK LETTERS

Transferee's broker hereby certifies:

- a) that the securities set out in Part 1 above, having been purchased in the ordinary course of business, are to be registered in the name(s) of the transferee(s) named in the Consolidated Transfer Form relating to the securities; and
- b) that stamp duty, if payable, has been or will be paid;

and hereby requests that such entries be made in the register as are necessary to give effect to this transfer.

TRANSFEREE'S BROKER'S STAMP

Place of affixing stamp

Date of affixing stamp

_____ day of _____, 20_____