



Capital Market Association  
Eastern Caribbean

## **Annexure 7G: Split Transfer Advice**

**References** Chapter 7, SVGEX Business Rules

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Security

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Units

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Settlement Date

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Selling Broker

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Buying Broker

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Sales Slip Number

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Unique Identifier

### **Instructions to buying or receiving broker**

- 1 Upon receipt of this advice create a Split Transfer using precisely the delivery details provided on the attached copy of the Split Transfer.
- 2 Affix Marking Stamp to the Split Transfer.
- 3 Apply certificate allocation details to the Marking Stamp.
- 4 Affix the unique identifier to the Split Transfer.
- 5 Validate the Split Transfer in Part 1 prior to delivery.
- 6 Maintain a copy of the documents for future reference.
- 7 The attached transfer is a true copy of the original Split Transfer. The Split Transfer held by the selling or delivering Broker has been duly completed by the selling or delivering Broker and to which the Broker's stamp in ink has been affixed in accordance with the Exchange and the CMAEC. The original Split Transfer is held by the selling or delivering Broker.



Signed:

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(Selling or Delivering Broker's Authorised Clerk)

Print name:

Date: