

Member List of Board of Governors

(As of July 2, 2018)

Public Governor & Chair of Code of Conduct Committee

Masako Egawa

(Professor, Graduate School of Business Administration, Hitotsubashi University)

Public Governor, Chair of Self-regulation Board & Vice-Chairman

Jyunji Oota

(Former Chairperson, Japan Audit & Supervisory Board Members Association)

Public Governor

Hideki Kanda (Professor, Gakushuin University, Professional School of Law (Law School))

Public Governor & Chair of Finance & Securities Education Support Committee

Kumi Fujisawa (Co-Founder, Think Tank Sophia Bank)

Regular Member Governor, Chair of Securities Strategy Board & Vice Chairman

Takashi Hibino (Chairman of the Board, Daiwa Securities Co. Ltd.)

Regular Member Governor, Chair of General Affairs Committee & Vice Chairman

Toshio Morita (President (Representative Executive Officer), Nomura Securities Co., Ltd.)

Regular Member Governor

Noboru Ishii (President, Tachibana Securities Co., Ltd.)

Special Member Governor

Koji Fujiwara (President & CEO, Mizuho Bank, Ltd.)

Standing Governor, Chairman and CEO

Shigeharu Suzuki

Standing Governor & Vice Chairman

(Chief Operating Officer for the Self-regulation Board)

Manabu Morimoto

(Former Director-General of the Planning and Coordination Bureau,

Financial Services Agency)

Standing Governor, Vice Chairman & Senior Managing Director

(Chief Operating Officer for the Securities Strategy Board)

Mario Takeno

(Former Secretary-General of the Executive Bureau,

Securities and Exchange Surveillance Commission)

Regular Member Auditors

Kazuhiko Kobayashi (Chairman of the Board, Mito Securities Co., Ltd.)

Toru Saito (President, Shonai Securities Co., Ltd.)

Standing Auditor

Tsuyoshi Murai